

TRANSCEND

SURVEIL[®] DRUG-COATED BALLOON TRIAL

Pivotal Trial Results

The Randomized And Controlled Noninferiority Trial to Evaluate Safety and Clinical Efficacy of the SurVeil[™] Drug-Coated Balloon iN the Treatment of Subjects with Stenotic Lesions of the Femoropopliteal Artery Compared to the Medtronic IN.PACT[®] Admiral[®] Drug-Coated Balloon

On behalf of TRANSCEND Steering Committee and Investigators

Late Breaking Clinical Trial
January 25, 2021



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Disclosures

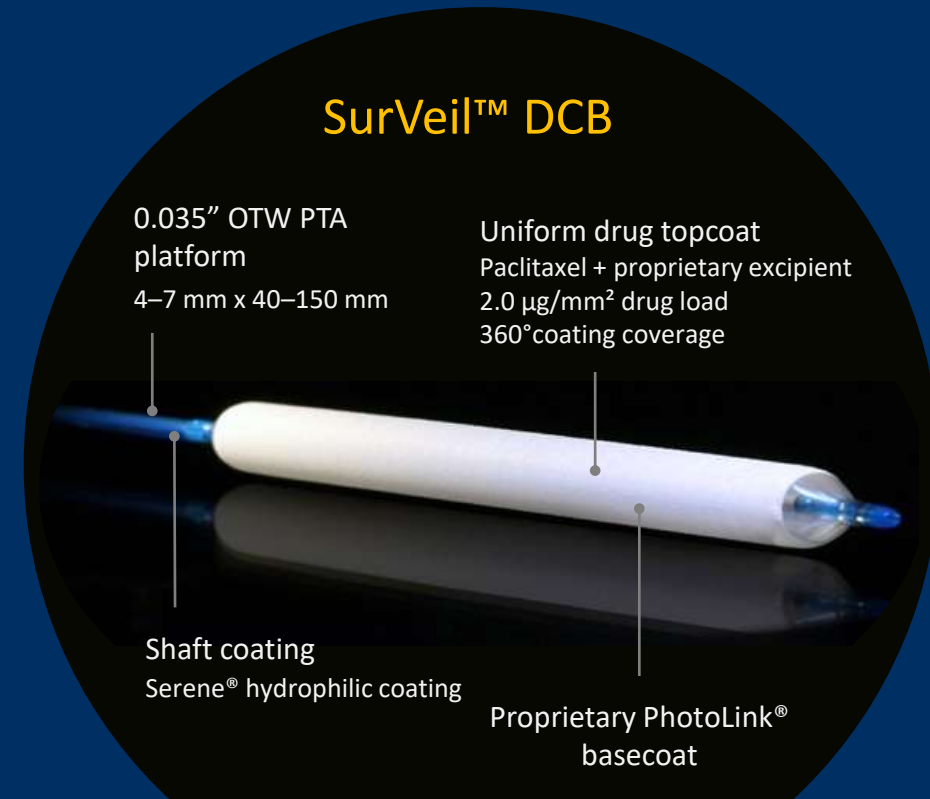
- **Consultant/Scientific Advisory Board:** Access Vascular; Angiodynamics; Contego; Philips; Boston Scientific; Surmodics; Janssen; Neptune Medical; Magneto; Mayo Clinic; BMS-Pfizer; Summa Therapeutics; Thrombolex
- **Grants:** NIH; Boston Scientific; Intact Vascular
- **Equity:** Accolade; Access Vascular; Capture Vascular; Contego; Cruzar Systems; Embolitech; Endospan; Eximo; JanaCare; Magneto; Micell; Orchestra; PQ Bypass; Shockwave Medical; Summa Therapeutics; Thrombolex; Valcare
- **Board Member:**
 - National PERT Consortium™, a not for profit 501c3 organization dedicated to advancing treatment and improving outcomes in Pulmonary Embolism

TRANSCEND Pivotal Trial 12-month Results

SURVEIL™ DCB: THIRD-GENERATION

GOALS for 3rd generation device (SURMODICS)

- CLINICAL - Similar therapeutic outcome with lower dose
 - Lower potential for complications
 - Wider therapeutic window
- TECHNOLOGICAL – Reduce Paclitaxel dose to $2.0 \mu\text{g}/\text{mm}^2$; improve uniformity of drug delivery/distribution
 - better efficiency of drug transfer
 - reduction in downstream embolization



THESIS: similar outcome with lower dose of cytotoxic drug

→ advance the state of the art

→ provide better therapeutic choice

TRANSCEND Pivotal Trial 12-month Results

TRANSCEND TRIAL JOURNEY

- First U.S. head-to-head DCB trial comparing novel device to control device that has 75% more drug load
- Paclitaxel debate
 - Enrollment pause slows study completion
- COVID 19
 - Subject follow-up more challenging



TRANSCEND Pivotal Trial 12-month Results

TRIAL OVERVIEW

- Prospective, multicenter, international, randomized, single-blind trial of Surveil DCB versus IN.PACT Admiral DCB (1:1)
- 446 subjects randomized
 - 52 US sites (N=290) and 13 OUS sites (N=156)
 - Surveil (N=222) and IN.PACT ADMIRAL (N=224)
 - 60-month follow-up
- Independent and blinded: DUS Core Lab, Angiographic Core Lab, Clinical Events Committee; unblinded Data Monitoring Committee
- Hypotheses test - Non inferiority (15% NI margin for efficacy; 10% for safety)

GLOBAL SITE PARTICIPATION



PRINCIPAL INVESTIGATORS

Marianne Brodmann, MD
William Gray, MD
Kenneth Rosenfield, MD

TRIAL DESIGN, BIOSTATISTICS, DSMB, CEC

Baim Institute

TRIAL OPERATIONS

Medpass (OUS); Clinlogix (US)



TRANSCEND Pivotal Trial 12-month Results

KEY INCLUSION CRITERIA

CLINICAL

- Subject \geq 18 years
- Target limb Rutherford Class 2, 3, or 4

ANGIOGRAPHIC

- De Novo or non-stented restenotic lesion $>$ 90 days after POBA angioplasty or $>$ 180 days post prior DCB treatment
- Target lesion length \leq 180 mm
- Target lesion starts \geq 10mm below common femoral bifurcation and terminates at or above end of P1 segment of popliteal artery
- Target vessel diameter \geq 4mm and \leq 7mm
- Target lesion must have \geq 70% stenosis by visual estimate
- Target lesion residual stenosis \leq 70% after pre-dilatation

TRANSCEND Pivotal Trial 12-month Results

BASELINE PATIENT AND LESION CHARACTERISTICS

| | SURVEIL N = 222 subjects | IN.PACT N = 224 Subjects | P-value |
|---|-----------------------------|-----------------------------|-------------|
| Age (yrs) | 68.7 ± 9.4 (222) | 67.4 ± 9.3 (224) | 0.136 |
| Male | 62.6% (139/222) | 63.4% (142/224) | 0.922 |
| Rutherford Class | | | |
| 2 | 21.6% (48/222) | 34.4% (77/224) | } 0.022 (*) |
| 3 | 75.7% (168/222) | 61.2% (137/224) | |
| 4 | 2.7% (6/222) | 4.5% (10/224) | |
| Lesion length (mm) ¹ | 72.5 ± 48.4 (221) | 70.0 ± 50.5 (223) | 0.597 |
| Minimum Lumen Diameter (mm) ¹ | 1.4 ± 1.1 (221) | 1.3 ± 1.0 (223) | 0.106 |
| Reference Vessel Diameter (mm) ¹ | 5.3 ± 0.9 (221) | 5.3 ± 0.7 (223) | 0.842 |
| % Diameter stenosis ¹ | 72.9 ± 18.8 (221) | 75.8 ± 18.1 (223) | 0.102 |

1 Core Lab reported data
 Data reported as Mean±SD (N) or % (n/N)
 (*) t-test for equality of means



PRIMARY ENDPOINTS

Safety (composite)

- Freedom from device- and procedure-related death through 30 days
 - Freedom from major amputation (above ankle)
 - Freedom from clinically-driven target vessel revascularization (CD-TVR) through 12 months
-

Efficacy

- *Primary patency* through 12 months, defined as a composite of
 - Freedom from clinically-driven target lesion revascularization (CD-TLR)
 - Freedom from binary restenosis (peak systolic velocity ratio [PSVR] ≥ 2.4 or $\geq 50\%$ stenosis)¹
-

¹Assessed by independent DUS and angiographic core labs. In cases when there is a discrepancy between angiographic and DUS assessment of patency, angiographic assessment takes precedence.



TRANSCEND Pivotal Trial 12-month Results

PROCEDURAL CHARACTERISTICS

| | SURVEIL N = 222 subjects | IN.PACT N = 224 Subjects | P-value |
|---|-----------------------------|-----------------------------|------------------|
| Stenosis (%) | | | |
| After Pre-Dilatation¹ | 29.5 ± 15.2 (212) | 31.2 ± 16.0 (218) | 0.280 |
| After DCB deployment² | 20.3±10.4 (215) | 19.9±10.1 (220) | 0.728 |
| Final² | 18.7 ± 9.6 (217) | 18.9 ± 9.3 (223) | 0.875 |
| Max Inflation Pressure (atm)^{3,4} | 8.3 ± 2.4 (290) | 9.2 ± 2.4 (266) | <0.001 |
| Inflation Duration (sec)³ | 183.3 ± 64.4 (290) | 185.5 ± 63.6 (267) | 0.686 |
| Final MLD (mm)² | 4.3 ± 0.8 (221) | 4.3 ± 0.7 (223) | 0.604 |
| Dissection (>/= Grade C) (Post Procedure)² | 21.7% (47/217) | 15.7% (35/223) | 0.108 |
| % of subjects requiring Post dilatation | 18.0% (40/222) | 17.4% (39/224) | 0.902 |
| % of subjects with Bailout stenting | 8.1% (18/222) | 6.7% (15/224) | 0.592 |

Data reported as
Mean±SD (N) or % (n/N)

¹ Site Data

² Core Lab data

³ Information based on number of devices used

⁴Nominal pressure for IN.PACT 8atm and 5atm (200mm and 250mm), 6atm for SurVeil.



TRANSCEND Pivotal Trial 12-month Results

12-MONTH SAFETY RESULTS (ITT)

| ENDPOINT MET | SURVEIL ARM | IN.PACT ARM | DIFFERENCE (2-sided 95% CI) | P-VALUE for Non- Inferiority (NI=10%) |
|--|---------------------|---------------------|--------------------------------|---|
| Primary safety ¹ | 91.7% | 89.6% | 2.1 (-4.0%, 8.2%) | <0.001 |
| Freedom from all cause death at 30 days ^{2,3} | 99.5% (217/218) | 100.0% (223/223) | | |
| Freedom from target limb amputation ^{2,5} | 100.0% (196/196) | 100.0% (215/215) | | |
| Freedom from CD- TVR ^{2,4} | 92.4% (183/198) | 89.9% (195/217) | | |

¹Multiple Imputation

²Complete case analysis

³Denominators include subjects with at least 28 days of follow-up or subjects experiencing device- or procedure-related death through 30 days.

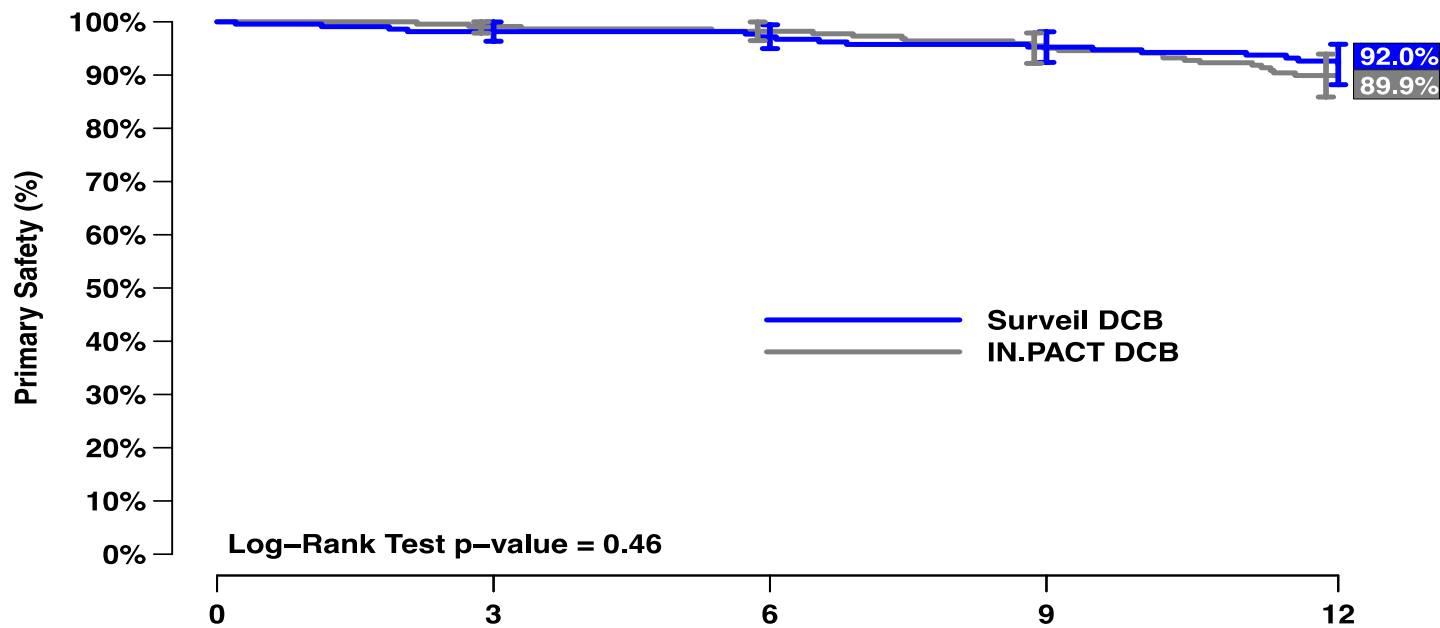
⁴Denominators include subjects with at least 335 days of follow-up or subjects experiencing clinically-driven TVR through 365 days.

⁵Denominators include subjects with at least 335 days of follow-up or subjects experiencing target limb amputation through 365 days.



TRANSCEND Pivotal Trial 12-month Results

KAPLAN-MEIER FOR PRIMARY SAFETY ENDPOINT (ITT)



| | 0 | 3 | 6 | 9 | 12 |
|-------------|-----|-----|-----|-----|-----|
| Surveil DCB | 222 | 209 | 202 | 193 | 139 |
| IN.PACT DCB | 224 | 220 | 217 | 209 | 154 |



TRANSCEND Pivotal Trial 12-month Results

SECONDARY SAFETY OUTCOMES (ITT)

| | SURVEIL N = 222 subjects | IN.PACT N = 224 subjects | Non-inferiority P-value |
|---|------------------------------|-----------------------------|----------------------------|
| Historical MAE ¹ | 8.4% (17/203) | 7.8% (17/219) | 0.859 |
| Target Vessel Patency | 79.0% (139/176) | 80.7% (159/197) | 0.699 |
| All-cause death | 3.5% (7/202) ² | 3.2% (7/219) | 1.000 |
| CD-TLR | 5.6% (11/198) | 4.7% (10/215) | 0.823 |
| Target limb major amputation | 0.0% (0/196) | 0.0% (0/215) | -- |
| Thrombosis at target lesion | 0.0% (0/196) | 0.0% (0/215) | -- |
| Freedom from all-cause death, major target limb amputation, and TVR (30-days) | 99.5% (217/218) | 100.0% (223/223) | 0.494 |
| Sustained Clinical Improvement ³ | 61.1% (121/198) | 63.9% (140/219) | 0.613 |

¹ Historical MAE is defined as composite of all cause death, CD-TLR, major target limb amputation, or thrombosis at target lesion

² One additional subject in the SurVeil arm died at day 366 post index procedure.

³ Sustained clinical improvement is defined as freedom from major target limb amputation, TVR and worsening target limb Rutherford class. Numerators include subjects who are free from major target limb amputation, TVR and worsening target limb Rutherford class. Denominators include subjects who are evaluable in any of the three components.



TRANSCEND Pivotal Trial 12-month Results

12-MONTH PRIMARY EFFICACY RESULTS (ITT)

| ENDPOINT MET | SURVEIL ARM | IN.PACT ARM | Difference (2-sided lower 95% CL) | P-Value for Non- Inferiority (NI = 15%) |
|---|----------------------------|----------------------------|---|--|
| Primary effectiveness¹ | 81.7% | 85.9% | -4.2% (-12.0%, 3.6%) | 0.003 |
| Freedom from CD-TLR (12 months)^{2,3} | 91.9% (182/198) | 94.4% (203/215) | | |
| Freedom from Binary restenosis (PSVR ≥ 2.4 or $\geq 50\%$ stenosis)^{2,4} | 88.0% (139/158) | 91.2% (165/181) | | |

¹Multiple Imputation

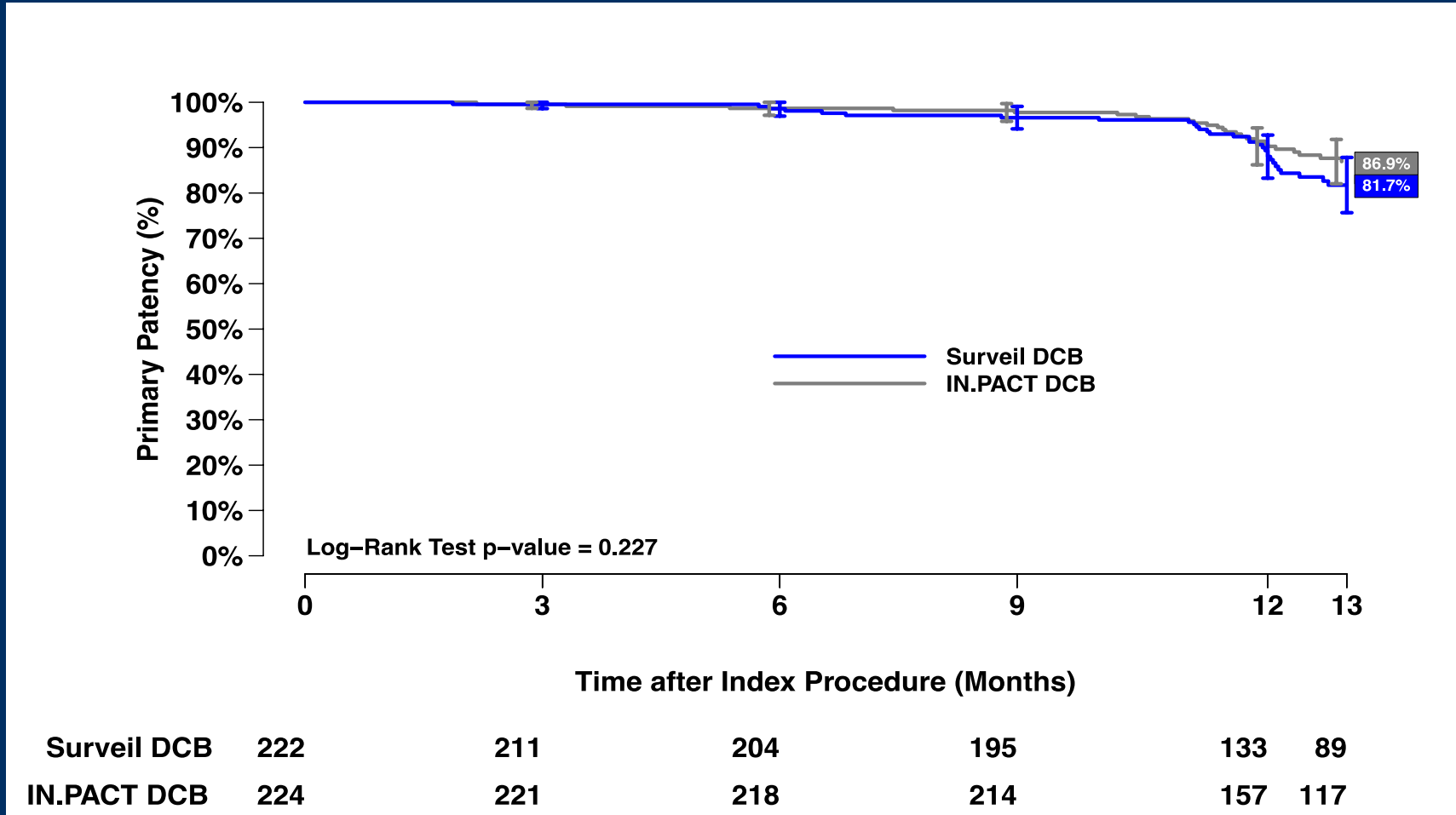
²Complete Case Analysis

³Denominators include subjects with at least 335 days of follow-up or subjects experiencing clinically-driven TLR through 395 days.

⁴Denominators include subjects with evaluable 12-month DUS (within or outside the visit window of 365 \pm 30 days) or subjects whose stenosis status could have been imputed from later assessments.

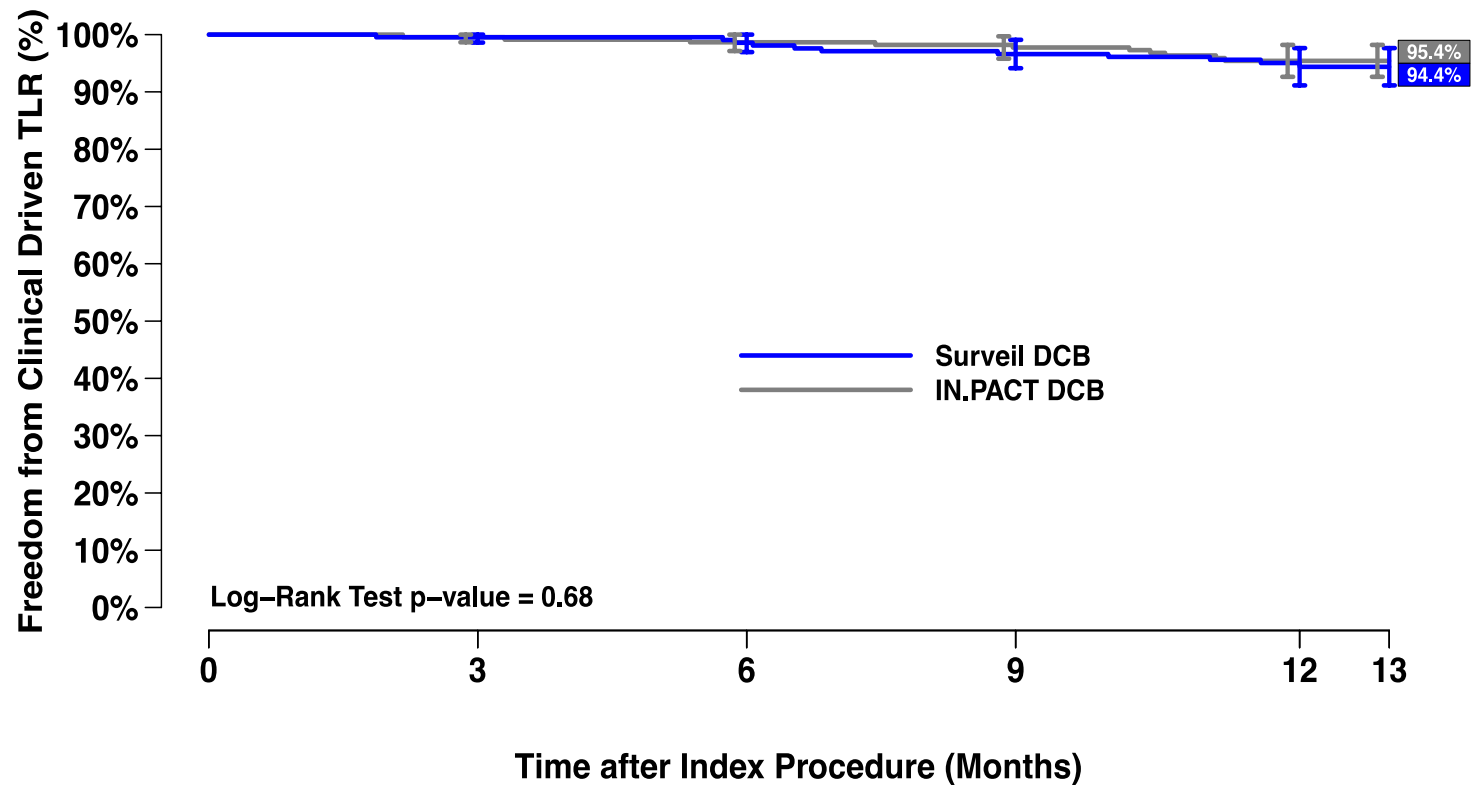


KAPLAN MEIER FOR PRIMARY EFFICACY ENDPOINT (ITT)



TRANSCEND Pivotal Trial 12-month Results

KAPLAN MEIER FOR FREEDOM FROM CD-TLR (ITT)



| | | | | | | |
|-------------|-----|-----|-----|-----|-----|-----|
| Surveil DCB | 222 | 211 | 204 | 195 | 143 | 102 |
| IN.PACT DCB | 224 | 221 | 218 | 214 | 163 | 127 |



TRANSCEND

SURVEIL[®] DRUG-COATED BALLOON TRIAL

CONCLUSIONS

- First head-to-head RCT of next generation low-dose DCB vs high-dose DCB
- Safety and Efficacy endpoints were achieved in a pivotal RCT
- SurVeil DCB non-inferior to market leading IN.PACT DCB with respect to Composite Patency, including CD-TLR and Binary restenosis
- Comparable effectiveness achieved at a substantially lower dose of drug

